

## Question 1

Bookwork and example sheets.

## Question 2

[This solution is based off notes taken during Dr. Zsak's example class]

(b) Since  $x_n \xrightarrow{w} 0$  we have:

$$0 \in \overline{\text{conv} \{x_n : n \in \mathbf{N}\}}^w = \overline{\text{conv} \{x_n : n \in \mathbf{N}\}}$$

by Mazur. So there exists  $p_1 < q_1$  and  $t_{p_1}, \dots, t_{q_1}$  such that  $\sum_{i=p_1}^{q_1} t_i = 1$  such that  $\left\| \sum_{i=p_1}^{q_1} t_i x_i \right\| < 1$ . Then since  $0 \in \overline{\text{conv} \{x_n : n \geq q_1\}}^w = \overline{\text{conv} \{x_n : n \geq q_1\}}$ , and so on, we can continue this construction to find  $p_1 < q_1 < p_2 < q_2 < \dots$  such that  $\sum_{i=p_n}^{q_n} t_i = 1$  and  $\left\| \sum_{i=p_n}^{q_n} t_i x_i \right\| < 1/n$ . Then  $\sum_{i=p_n}^{q_n} t_i x_i \rightarrow 0$  as desired.

(c) Since  $X^*$  is separable,  $B_{X^{**}}$  is  $w^*$ -metrizable, hence  $B_{X^{**}}$  is  $w^*$ -sequentially compact. Since  $\langle x_n \rangle_{n \in \mathbf{N}}$  is norm bounded in  $X$ , it is norm bounded (hence  $w^*$ -bounded) in  $X^{**}$ . So there exists some subsequence  $\langle \widehat{y}_n \rangle_{n \in \mathbf{N}}$  converging  $w^*$  to  $\phi \in X^{**}$ . That is, for  $f \in X^*$  we have  $\widehat{y}_n(f) = f(y_n) \rightarrow \phi(f)$ . So  $\langle f(y_n) \rangle_{n \in \mathbf{N}}$  is Cauchy for each  $f \in X^*$ .

Let  $u_n$  be a convex block of the  $\langle y_n \rangle_{n \in \mathbf{N}}$ , say  $u_n = \sum_{i=p_n}^{q_n} t_i y_i$ . Then for  $f \in X^*$  we have:

$$\begin{aligned} |f(u_n - y_n)| &\leq \sum_{i=p_n}^{q_n} t_i (f(y_i) - f(y_n)) \\ &\leq \sup_{p_n \leq i \leq q_n} |f(y_i) - f(y_n)| \end{aligned}$$

Since  $p_n, q_n \rightarrow \infty$ , we have  $|f(u_n - y_n)| \rightarrow 0$  for each  $f \in X^*$ . That is,  $u_n - y_n \xrightarrow{w} 0$ .

(d) Let  $\langle z_n \rangle_{n \in \mathbf{N}}$  be a sequence in  $B_Z$  with  $z_n \xrightarrow{w} 0$ . Pick  $\langle w_n \rangle_{n \in \mathbf{N}} \subseteq (4/3)B_X$  such that  $q(w_n) = z_n$ . Passing to a subsequence, we can assume that  $\langle w_n \rangle_{n \in \mathbf{N}}$   $w^*$ -converges to some  $\psi \in X^{**}$ . Take a convex block  $\langle u_n \rangle_{n \in \mathbf{N}}$  of  $\langle z_n \rangle_{n \in \mathbf{N}}$  such that  $u_n \rightarrow 0$ . Take the corresponding convex block of  $\langle w_n \rangle_{n \in \mathbf{N}}$ ,  $\langle x_n \rangle_{n \in \mathbf{N}}$  so that  $q(x_n) = u_n$  for each  $n \in \mathbf{N}$ . Then by the previous part, we have  $x_n - w_n \xrightarrow{w} 0$ . We can now conclude, since we have  $\|x_n - w_n\| < 3$  and  $\|q(x_n - w_n) - z_n\| = \|q(x_n)\| \rightarrow 0$ .

## Question 3

Mostly bookwork. Let  $\phi \in L_p^*$ . Then there exists  $g \in L_q$  such that:

$$\phi(f) = \int fg d\mu$$

Then we have  $|f_n g| \leq |fg|$ . Since  $|fg|$  is integrable by Holder's inequality and  $f_n g \rightarrow 0$  pointwise almost everywhere, we have:

$$\int f_n g d\mu \rightarrow 0$$

by DCT, and hence  $\phi(f_n) \rightarrow 0$ . So  $f_n \xrightarrow{w} 0$ .

## Question 4

First, any unital  $*$ -homomorphism  $f : A \rightarrow B$  between  $C^*$ -algebras is continuous: for each  $x \in A$  we have  $\sigma_B(f(x)) \subseteq \sigma_A(x)$ . (since if  $x$  is invertible in  $A$ , then  $f(x)$  is invertible in  $B$ ) Then  $\|f(x)\|^2 = \|(f(x))^*(f(x))\| = r_B(f(x^*x)) \leq r_A(x^*x) = \|x^*x\| = \|x\|^2$ , where we have used that if  $A$  is normal then  $r_A(x) = \|x\|$ .

Let  $A$  be the maximal commutative  $C^*$  subalgebra generated by  $T$ . Then  $\sigma_A(T) = \sigma(T)$ . Then there is a unital  $*$ -isomorphism  $S : \Phi_A \rightarrow \sigma(T)$  sending  $\phi \in \Phi_A$  to  $\phi(T)$ . Then the map  $f \mapsto f \circ S$  is a unital  $*$ -isomorphism  $C(\sigma(T)) \rightarrow C(\Phi_A)$ . Then the inverse Gelfand map  $G^{-1} : C(\Phi_A) \rightarrow A$  is a unital  $*$ -isomorphism. Finally defining a map  $\Gamma : C(\sigma(T)) \rightarrow A$  by  $\Gamma(f) = G^{-1}(f \circ S)$  we have a unital  $*$ -isomorphism. First, we have for  $\phi \in \Phi_A$ :  $(G \circ \Gamma)(\text{id})(\phi) = S\phi = \phi(T) = \hat{T}(\phi)$ . So  $(G \circ \Gamma)(\text{id}) = \hat{T} = G(T)$ . Since  $G$  is an isomorphism it follows that  $T = \Gamma(\text{id})$ . Now for  $\lambda \in K$ , we have  $(G \circ \Gamma)(\lambda)(\phi) = G^{-1}(\lambda)(\phi) = \lambda = \widehat{\lambda I}(\phi) = (G(\lambda I))\phi$ . So  $\Gamma(\lambda) = \lambda I$ .

For uniqueness, note that the conditions  $\Gamma(\text{id}) = T$  and  $\Gamma(\lambda) = \lambda$  together imply that for any polynomial  $p \in \mathbf{C}[X, Y]$  we have  $\Gamma(p(z, \bar{z})) = p(T, T^*)$ . Since the polynomials in  $z, \bar{z}$  are dense in  $C(\sigma(T))$ , we have that  $\Gamma$  is uniquely determined on a dense subset of  $C(\sigma(T))$ , hence uniquely determined.

Now suppose that  $\sigma(T) = K_1 \cup K_2$  is disconnected. Let  $U_1$  and  $U_2$  be open neighborhoods of  $K_1$  and  $K_2$  with positive distance between them. Define  $f(z) = 1$  on  $U_1$  and  $f(z) = 0$  on  $U_2$ . Then  $f$  is continuous since it is continuous on both connected components. We have  $\Gamma(f)^2 = \Gamma(f^2) = \Gamma(f)$ , so  $\Gamma(f)$  is a projection, and commutes with  $A$  since  $\Gamma(f) \in A$ . We lastly need to verify that  $\Gamma(f)$  is non-trivial. We do this by noting that since  $\Gamma$  is a  $*$ -isomorphism onto  $A$ , it is injective. Since  $f$  is not identically 0 or the 1, we have  $\Gamma(f) \notin \{0, I\}$ . Hence  $\Gamma(f)$  is a non-trivial projection commuting with  $T$  and we are done.

## Question 5

(1)  $\implies$  (2): Since  $T^{**}$  extends  $T$  we have  $T^{**}x \in TB_X \subseteq \overline{TB_X}$  for each  $x \in X$ . Hence:

$$B_X \subseteq (T^{**})^{-1}(\overline{TB_X}) \quad (*)$$

We have that  $\overline{TB_X}$  is  $w$ -compact. So  $(T^{**})^{-1}(\overline{TB_X})$  is  $w^*$ -closed. Taking closures on both sides of the inclusion (\*) and then applying  $T^{**}$  gives  $T^{**}(B_{X^{**}}) \subseteq \overline{TB_X} \subseteq Y$ . So  $T^{**}(X^{**}) = \bigcup_{r=1}^{\infty} T^{**}(rB_{X^{**}}) \subseteq Y$ . So we have proved (2).

(2)  $\implies$  (3): Suppose that  $T^{**}(X^{**}) \subseteq Y$ . Then for each  $g \in X^{**}$ , we have  $g \circ T^{**} = T^{**}g \in Y = (Y^*, w^*)^*$ . So by the universal property,  $T^*$  is  $w^*$ -to- $w$  continuous.

(3)  $\implies$  (4): Suppose that  $T^* : Y^* \rightarrow X^*$  is  $w^*$ -to- $w$  continuous. Since  $B_{X^*}$  is  $w^*$ -compact by Banach-Alaoglu, we have that  $T^*(B_{X^*})$  is  $w$ -compact, in particular  $w$ -closed. So we immediately get that  $T^*$  is weakly compact.

(4)  $\implies$  (1): Suppose that  $T^*$  is compact. Using (1)  $\implies$  (4), we have that  $T^{**}$  is compact. Since  $T^{**}$  extends  $T$ , we have  $T(B_X) \subseteq T^{**}(B_{X^{**}})$ .  $B_{X^{**}}$  is  $w^*$ -compact by Banach-Alaoglu. Since  $T^{**} : X^{**} \rightarrow Y^{**}$  is  $w^*$ -to- $w$  continuous by (1)  $\implies$  (3), we have

that  $T^{**}(B_{X^{**}})$  is  $w$ -compact in  $Y^{**}$ . So  $\overline{T(B_X)}^w$  is a  $w$ -closed subset of the  $w$ -compact set  $T^{**}(B_{X^{**}})$ , so is  $w$ -compact.

If  $X$  is reflexive, then  $X = X^{**}$ . Then  $T^{**}(X) = T(X) \subseteq Y$ , so  $T$  is weakly compact. If  $Y$  is reflexive, then so is  $Y^*$ . Consider  $T^* : Y^* \rightarrow X^*$  and  $T^{***} : Y^* \rightarrow X^*$ . Then  $T^{***}(Y^*) = T^*(Y^*) \subseteq X^*$ , so  $T^*$  is weakly compact. So  $T$  is weakly compact.