

## Question 1

Pure bookwork.

## Question 2

[This solution is based off notes taken during Dr. Zsak's example class]

(iii) Since  $Y$  has finite codimension in  $X^*$  so there exists  $\{e_1, \dots, e_n\}$  such that  $X^* = Y + \text{span}\{e_1, \dots, e_n\}$  where  $Y \cap \text{span}\{e_1, \dots, e_n\} = 0$ . Define:

$$\phi_j(f_i) = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}$$

and  $\phi_j(y) = 0$  for  $y \in Y$ . Then we have  $\ker \phi_j = Y + \text{span}\{e_i : i \neq j\}$ . So  $Y = \bigcap_{j=1}^n \ker \phi_j$ .

Suppose that  $x \in F \cap X$ . If  $x \in F$  then  $Y \subseteq \ker \hat{x}$ . But  $\hat{x}$  is  $w^*$ -continuous, we have that  $\ker \hat{x}$  is  $w^*$ -closed. But  $Y$  is  $w^*$ -dense, which implies that  $\ker \hat{x} = X^*$ . So  $x = 0$ .

We now want to show  $d = d(S_X, F) > 0$ . Let  $\psi \in S_F$ , (which is therefore not in  $X$ ) then  $d(\psi, X) > 0$  since  $X$  is closed in  $X^{**}$ . The map  $\psi \mapsto d(\psi, X)$  defined on  $S_F$  is a continuous map on a compact space, so attains an infimum, so there exists  $\delta > 0$  such that  $d(\psi, X) \geq \delta$  for all  $\psi \in S_F$ .

Now take  $\psi \in F$  general and  $x \in S_X$ . Then:

$$\|\psi - x\| = \|\psi\| \left\| \frac{\psi}{\|\psi\|} - \frac{x}{\|\psi\|} \right\| \geq \delta \|\psi\|$$

Hence if  $\|\psi\| \geq 1/2$  we have  $\|\psi - x\| \geq \delta/2$ . For  $\|\psi\| \leq 1/2$  we have  $\|\psi - x\| \geq 1/2$ . So we have  $d \geq \max\{1/2, \delta\} > 0$ .

Let  $x \in S_X$  and let  $E = \text{span}(F \cup \{\hat{x}\})$ . Define the map  $\psi = 0$  on  $F$  and  $\psi(\hat{x}) = 1$ , then extend linearly. We have  $d(x, F) \geq d$ , so we must have  $\|\psi\| \leq 1/d$ . Extend using Hahn–Banach to get  $\psi \in X^{***}$  vanishing on  $F$ . Then  $\|d\psi\| \leq 1$ , so there exists  $f \in X^*$  with  $\|f\| < 1 + \varepsilon$  such that  $d\psi = \hat{f}$  on  $E$ . Then  $\psi = \hat{f}/d$  with  $\|f/d\| < 1/d + \varepsilon/d$ . Then we have:

$$\frac{\hat{f}}{\|\hat{f}\|}(x) = \frac{1}{\|\hat{f}\|} \geq \left(\frac{1}{d} + \frac{\varepsilon}{d}\right)^{-1} = d(1 + \varepsilon)^{-1}$$

Note that  $\hat{f}$  restricts to 0 on  $F$ , so  $f \in \bigcap_{i=1}^n \ker \phi_k = Y$ . Hence we obtain for  $x \in S_X$  and each  $\varepsilon > 0$ :

$$\sup \{f(x) : f \in Y, \|f\| \leq 1\} \geq d(1 + \varepsilon)^{-1}$$

Hence:

$$\sup \{f(x) : f \in Y, \|f\| \leq 1\} \geq d$$

So for general non-zero  $x \in X$  we have by scaling:

$$\sup \{f(x) : f \in Y, \|f\| \leq 1\} \geq d \|x\|$$

The demand is then immediate.

(iv): Let  $f \in X^* \setminus \overline{Z}^{w^*}$ . Then there exists a  $w^*$ -continuous map  $\phi = \hat{x} \in X$  such that  $\phi$  restricts to 0 on  $\overline{Z}^{w^*}$  and is non-zero at  $f$ . Then we would have:

$$0 < c \|f\| \leq \sup \{g(x) : g \in S_Z\} = 0$$

since  $\hat{x}$  vanishes on  $\overline{Z}^{w^*}$  hence  $S_Z$ .

An example for the last part is  $X = \ell_1$ ,  $Z = c_0 \subseteq \ell_\infty$ . We have for each  $f \in Z$  and  $x \in \ell_1$ :

$$f(x) = \sum_{n=0}^{\infty} f_n x_n$$

for some  $\langle f_n \rangle_{n \in \mathbf{N}} \in \ell_\infty$ . Notice that we can pick  $f_n = \text{sgn}(x_n)$  (clearly defining a bounded sequence) to get  $f(x_n) = \|x\|_1$ . Hence we get the 1-norming property.

### Question 3

[This solution is based off notes taken during Dr. Zsak's example class]

Bookwork up until last bit.

Since  $F$  is finite dimensional,  $S_F$  is norm compact. So there exists a cover by  $\delta$ -balls with centres  $\{f_1, \dots, f_k\}$ . Fix  $\mu \in B_{C(K)^*}$ . Let:

$$U = \{\nu \in B_{C(K)^*} : |(\nu - \mu)(f_j)| < \delta \text{ for each } 1 \leq j \leq k\}$$

Since  $B_{C(K)^*} = \overline{\text{conv} \{\alpha \delta_k : k \in [0, 1], |\alpha| = 1\}}^{w^*}$ ,  $U$  intersects  $\text{conv} \{\alpha \delta_k : k \in [0, 1], |\alpha| = 1\}$ . So there  $n \in \mathbf{N}$ ,  $|\alpha_j| = 1$ ,  $w_j \in [0, 1]$  and  $s_j \geq 0$  ( $1 \leq j \leq n$ ) with  $\sum_{j=1}^n s_j = 1$ . Then we have:

$$\left| \int_0^1 f_i d\mu - \sum_{i=1}^n t_i f_i(w_i) \right| < \delta$$

where  $t_i = s_i \alpha_i$  so that  $|t_i| = s_i$ . We now have for  $f \in S_F$ :

$$\begin{aligned} \left| \int_0^1 f d\mu - \sum_{i=1}^n t_i f(w_i) \right| &= \left| \int_0^1 (f - f_i) d\mu - \sum_{i=1}^n t_i (f - f_i)(w_i) \right| + \left| \int_0^1 f_i d\mu - \sum_{i=1}^n t_i f_i(w_i) \right| \\ &= \delta + \sum_{i=1}^n |t_i| + \delta \\ &= 3\delta \end{aligned}$$

Since this holds for all  $f \in X^*$ , we have:

$$\left| \int_0^1 f d\mu - \sum_{i=1}^n t_i f(w_i) \right| \leq 3\delta \|f\|$$

Taking  $\delta = \varepsilon/3$  we are done.

### Question 4

Bookwork up to invariant subspaces. Let  $B(X)$  be the Banach algebra of bounded operators on  $X$ . Let  $A$  be the maximal commutative subalgebra of  $B(X)$  that contains  $T$ . Then  $\sigma_A(T) = \sigma(T)$ . Since  $\sigma(T)$  is disconnected, there exists disjoint closed sets  $C_1, C_2$  such that  $\sigma(T) = C_1 \cup C_2$ . Since  $C_1, C_2$  are disjoint and closed, we have  $\varepsilon = d(C_1, C_2) > 0$ . So let  $U$  and  $V$  be open neighborhoods of  $C_1$  and  $C_2$  that are still disjoint, say  $U = C_1 + B_{\varepsilon/2}(0)$  and  $V = C_2 + B_{\varepsilon/2}(0)$ .

Then  $U \cup V$  certainly contains  $\sigma(T)$  and has precisely two connected components,  $U$  and  $V$ . Define  $f : U \cup V$  by  $f(z) = 1$  on  $U$  and  $f(z) = 0$  on  $V$ . This is holomorphic since it is holomorphic on both connected components. Notice that  $f^2 = 1$ . Then consider  $\Theta_T(f) = P$ . This is a projection since  $P^2 = \Theta_T(f^2) = \Theta_T(f) = P$ .  $P \notin \{0, I\}$  since  $\sigma_A(\Theta_T(f)) = f(\sigma(T)) = \{0, 1\}$  by the HFC. Since  $A$  is a commutative algebra, we have  $PT = TP$ . Notice now that  $Y = \ker(I - P) = \text{im}(P)$  is a non-trivial closed subspace of  $X$ , and for each  $y \in Y$  we have  $Ty = TP_y = PT_y \in Y$ . So  $Y$  is a non-trivial invariant subspace for  $T$ .

### Question 5

Pure bookwork.